

APPENDIX 4

REQUEST FOR BOARD ACTION BY A BOARD MEMBER

Requester: Daniel J. Santos _____ October 28, 2016

Brief description of Requested Action: Request Board approval to task the Office of the Technical Director to:

1. Submit to the Board in Orange Folder within 14 calendar days of this action, the list of standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (including all applicable Department of Energy orders, regulations, and requirements) at each Department of Energy defense nuclear facility that the DNFSB staff periodically reviews and plans to review.
2. Following approval of this action, for each item described in paragraph 1 above for which the staff conducts a review, provide the Board with staff review findings, comments, and access to supporting documentation 7 calendar days in advance of transmitting the staff information to DOE. This provides an opportunity on a case-by-case basis for the Board to initiate action to decide if such transmittal to DOE is warranted.

Justification: In accordance with our enabling statute, the Board shall perform the following function:

“Review and evaluation of standards - The Board shall review and evaluate the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (including all applicable Department of Energy orders, regulations, and requirements) at each Department of Energy defense nuclear facility. The Board shall recommend to the Secretary of Energy those specific measures that should be adopted to ensure that public health and safety are adequately protected. The Board shall include in its recommendations necessary changes in the content and implementation of such standards, as well as matters on which additional data or additional research is needed.”

Current practice has been for staff to transmit the review information to DOE without formal input from the Board.

Attachments (init) n/a (included clean version of any proposed document or modified document)

Summarize any time sensitive considerations: n/a

Requestor signature signature on file _____ October 28, 2016

Assistant Executive secretary signature on file _____ October 28, 2016

APPENDIX 4

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING	COMMENT	DATE
Joyce L. Connery	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Jessie H. Roberson	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Sean Sullivan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Daniel J. Santos	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Bruce Hamilton	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Final Disposition Summary						

Executive Secretary signature _____ [Click here to enter a date.](#)

AFFIRMATION OF BOARD VOTING RECORD

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OTD Regarding Review and Evaluation of DOE Standards

Doc Control#2017-300-014

The Board, with Board Member(s) Jessie H. Roberson, Daniel J. Santos, *approving*, Board Member(s) Joyce L. Connery, Sean Sullivan *disapproving*, Board Member(s) Bruce Hamilton *abstaining*, and Board Member(s) none *recusing*, have voted to disapprove the above document on November 9, 2016.

The votes were recorded as:

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING*	COMMENT	DATE
Joyce L. Connery	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	11/09/16
Jessie H. Roberson	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	11/09/16
Sean Sullivan	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	11/08/16
Daniel J. Santos	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	11/08/16
Bruce Hamilton	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	11/08/16

*Reason for Not Participating:

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Board Members.


Assistant Executive Secretary to the Board

Attachments:

1. Voting Summary
2. Board Member Vote Sheets

cc: Board Members
OGC
OGM Records Officer
OTD

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET**

FROM: Joyce L. Connery

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OTD Regarding Review and Evaluation of DOE Standards

Doc Control#2017-300-014

Approved _____ Disapproved X Abstain _____

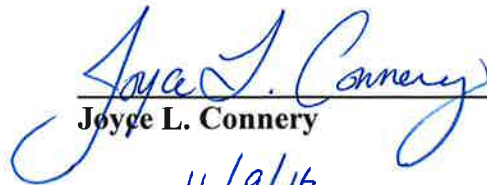
Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

The staff is already preparing a Policy Statement for review by the Board satisfying issue one of the RFBA.

Item two is unnecessary as the staff communicates with the Board on its review and evaluation of standards in various ways – through weeklies and gatherings, raising issues as warranted. Further, the RFBA is somewhat unspecific in how it would be addressed as the Board staff comments on a variety of versions of documents to include pre-RevCom and RevCom documents. I believe the intent of the RFBA deals with the Initial and Final reviews.

I am disapproving the RFBA with the expectation that the Policy Statement will be reviewed by the Board and through that process, the staff and the Board can discuss any additional procedures that would help identify issues that should be brought to the Board.



Joyce L. Connery
11/9/16

Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Jessie H. Roberson

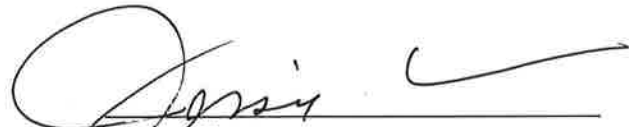
SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OTD Regarding Review and Evaluation of DOE Standards

Doc Control#2017-300-014

Approved Disapproved _____ Abstain _____
Recusal - Not Participating _____

COMMENTS: Below _____ Attached _____ None

Although I believe this can be addressed by the Board during it evaluation of a forth coming Policy statement on the same subject, I support the Board Members desire to have this information sooner.



Jessie H. Roberson
Nov 9, 2016

Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET


FROM: Sean Sullivan

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OTD Regarding Review and Evaluation of DOE Standards

Doc Control#2017-300-014

Approved _____ **Disapproved** **Abstain** _____
Recusal – Not Participating _____

COMMENTS: **Below** _____ **Attached** _____ **None**


Sean Sullivan
11/8/16
Date

Shelby Qualls

From: Daniel J. Santos
Sent: Tuesday, November 08, 2016 10:22 AM
To: Shelby Qualls; Lotus Smith
Subject: Re: Notational Vote: Doc#2017-300-014, Request for Board Action by Board Member Daniel J. Santos to Task OTD Regarding Review and Evaluation of DOE Standards - BLUE FOLDER

Approved.

From: Shelby Qualls
Sent: Monday, November 7, 2016 3:33:26 PM
To: Mark Welch; James Biggins; Neysa Slater-Chandler; Steven Stokes; Katherine Herrera; Bruce Hamilton; Daniel J. Santos; Jessie Roberson; Joyce Connery; Sean Sullivan
Cc: Lotus Smith; Nora Khalil; Selina Silvers; Shelby Qualls
Subject: Notational Vote: Doc#2017-300-014, Request for Board Action by Board Member Daniel J. Santos to Task OTD Regarding Review and Evaluation of DOE Standards - BLUE FOLDER

This email is an electronic record of Notational Vote. Voting ballot will follow shortly. Also, accepting electronic votes.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET**

FROM: Members of the Board
SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OTD Regarding Review and Evaluation of DOE Standards

DOC# 2017-300-014

Office Directors have provided their input.

Approved _____
Disapproved _____
Abstain _____
Recusal – Not Participating _____

COMMENTS:

Below _____
Attached _____
None _____

Shelby Qualls
Assistant Executive Secretary
Office of the Chairman

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Bruce Hamilton

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OTD Regarding Review and Evaluation of DOE Standards

Doc Control#2017-300-014

Approved _____ Disapproved _____ Abstain X

Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

This board action would appropriately insert the Board more deeply into the process of reviewing and evaluating Department of Energy standards, revising the current practice where the Board's staff have transmitted reviews directly to DOE. The Board should be part of the process, and this change would be in improvement.

Secondly, all external formal written communication using Board letterhead, should be approved by the Board. This is not what is being done at present.

That said, there are also substantial opportunity costs that would be incurred by the Technical Director. The twin goals of inserting the Board into the process and of ensuring that staff formal correspondence does not bypass the Board itself could probably be achieved through more modest means.

While I am not opposed to this board action on principle, I am unconvinced that this is the optimal approach, and as such, I defer this cost-benefit decision to the wisdom of my fellow Board Members.

I therefore abstain.


Bruce Hamilton

8 NOV 2016

Date